

# Certificate of Registration

## BUSINESS CONTINUITY MANAGEMENT SYSTEM - ISO 22301:2012

This is to certify that: Capital Securities  
& Capital Futures Corporation  
11F.  
No. 156, Sec. 3, Minsheng E. Rd.  
Songshan Dist.  
Taipei City  
105005  
Taiwan

Holds Certificate No: **BCMS 537763**

and operates a Business Continuity Management System which complies with the requirements of ISO 22301:2012 for the following scope:

The business continuity management system in relation to the provision of stock trading, futures & options trading, derivatives trading, bonds trading, re-consigned trading, and registrar agency business.

For and on behalf of BSI:



Chris Cheung, Head of Compliance & Risk - Asia Pacific

Original Registration Date: 2008-11-14

Latest Revision Date: 2020-10-14

Effective Date: 2020-11-26

Expiry Date: 2022-10-29

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